

Electronic Acknowledgement Receipt

EFS ID:	8317911
Application Number:	09465607
International Application Number:	
Confirmation Number:	9080
Title of Invention:	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
First Named Inventor/Applicant Name:	TIMOTHY M. KEISER
Customer Number:	63710
Filer:	Christopher David Agnew/David Postolski
Filer Authorized By:	Christopher David Agnew
Attorney Docket Number:	98-HSX001-C1
Receipt Date:	30-AUG-2010
Filing Date:	17-DEC-1999
Time Stamp:	15:04:45
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	1334
Deposit Account	503938
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Transmittal Letter	98-HSX001-C1_100830_IDS.pdf	25881 Bac2b48f57025cc53462ae4877fbfec3c701b	no	2

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	98-HSX001-C1_100830_1449.pdf	78409 0740355ax126046147803567d7f751b607bc2cf7	no	10
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Warnings:

Information:

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3	Foreign Reference	WO03100567.pdf	1474650 bb6617ed1fd2707798b65c34fc2d137ab081c29fc1	no	43
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Warnings:

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4	Foreign Reference	WO05017700.pdf	932327 e01f1e3fbae7373a5a1b4c34fb94fffb233a69919	no	24
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5	Foreign Reference	WO0177940.pdf	3682149 91deab53b7064353ad7ace813e75e9a4ca0021b	no	85
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6	Foreign Reference	WO02025547.pdf	2414244 f59b4a751c51fd6b3565a72357d16ce782032817	no	60
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7	NPL Documents	98-HSX001-C5_100526_Final_Office_Action.pdf	1867142 9a4fe6164ac201ffbd9fa7d015f110bd810884	no	40
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17	NPL Documents	Film_Finances_Inc_The_World_Leader_in_Completion_Guarantees_Internet_Print-Out_1pg_dated_051123.pdf	146103 272b5x2c1398132-ff4815326da546be78/ 89710	no	1
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22	NPL Documents	PTCTUS200619316.pdf	315387 d5d41818c137f5203d92c2e6e6bb49f63e2/ 9ed850	no	6
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25	NPL Documents	04-6191_080221_Office_Action.pdf	683145 697dbb3d1f530a8ca58994a0ab9045af2f/ 4c0	no	21
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33	NPL Documents	04-6197_080319_Non-Final_Office_Action.pdf	1078951 246c0012019ufef0377ef40a342bf308e481 388	no	36
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36	NPL Documents	04-6197_050721_Application_as_published.pdf	166082 16520a5340ba5ee55544600e810f95e66d8cf882	no	16
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39	NPL Documents	04-6197_080220_Office_action.pdf	363007 a90a2040fe70899fb53c177b5304ad133d482e	no	13
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44	NPL Documents	04-6197CIP_050922_Application_as_published.pdf	185691 ab6HeB2(l)feb29459ac1207seeb67116c BB	no	18
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49	NPL Documents	04-6196_091211_Note_of_Affidavit.pdf	1333742 a4ef114c04600f233099d0f5f5ca4f7007 46c	no	38
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61	Fee Worksheet (PTO-875)	fee-info.pdf	30595 001ff877aa2e0e8c2d1b547d1ac56d811aa2a8	no	2
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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